

NAVIGATING THE 2020 SURVEY APPLICATION RECORD (SAR)

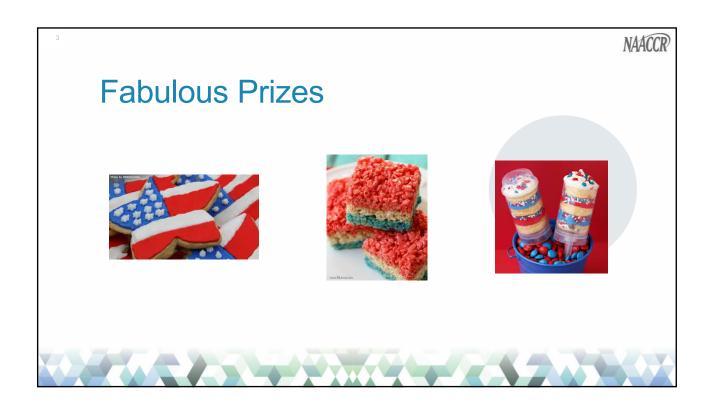
NAACCR

#### Q&A

Please submit all questions concerning the webinar content through the Q&A panel.

If you have participants watching this webinar at your site, please collect their names and emails.

We will be distributing a Q&A document in about one week. This document will fully answer questions asked during the webinar and will contain any corrections that we may discover after the webinar.





## Cancer Registrar and CoC Standards Compliance

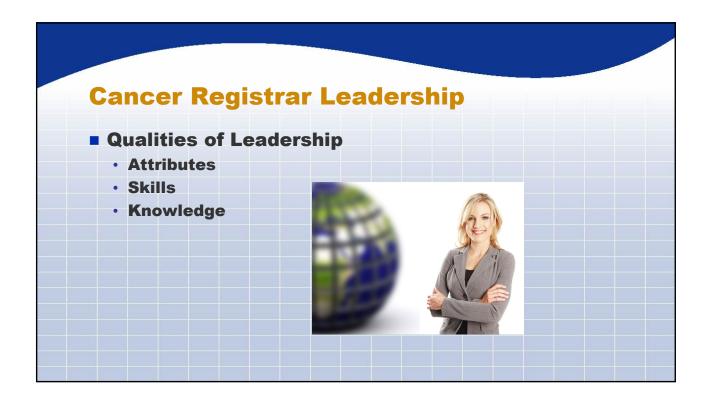
## Interpreting the 2020 Commission on Cancer Standards

Presented by

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#### **Objectives of Lecture**

- Ways to meet compliance with standards:
  - Understand how to fine-tune documentation
  - Learn how to enhance your team-building skills in order to meet compliance with standards
  - Understand the context of the new standards





## Leadership Skills Inspire/promote vision Communicate at all levels Recognize issues with process Look at the "big picture" Engage in goal setting Develop and Implement action plan

## Leadership Knowledge Roles/Responsibilities of others Know strategic plan of institution Know relationship of budget Understand local, state and national factors Research best practices Strategies to involve and communicate with others

# Communication Styles of communication Assertive communication Aggressive communication Passive communication Passive-aggressive communication







#### Leadership

- Leadership is a gift, not a given.
- Leadership is the ability to motivate.
- Leadership is bringing about unity.
- Leadership is listening.
- Leadership is effectively communicating.
- Leadership is envisioning.
- Leadership is accountability.
- Leadership is YOU!







#### **Standards Requiring Annual Review**

- Standard 2.5: Multidisciplinary Cancer Case Conference
- Standard 4.4: Genetic Counseling and Risk Assessment
- Standard 4.5: Palliative Care Services
- Standard 4.6: Rehabilitation Care Services
- Standard 4.7: Oncology Nutrition Services
- Standard 4.8: Survivorship Program
- Standard 5.2: Psychosocial Distress Screening
- Standard 6.1: Cancer Registry Quality Control
- Standard 8.1: Addressing Barriers to Care
- Standard 8.2: Cancer Prevention Event
- Standard 8.3: Cancer Screening Event
- Standard 9.1: Clinical Research Accrual

#### Reports that count in year completed

- Standard 2.2: Cancer Liaison Physician
- Standard 6.4: Rapid Quality Reporting System (RQRS) Participation
- Standard 7.2: Monitoring Concordance with Evidence-Based Guidelines
- Standard 7.3: Quality Improvement Initiative
- Standard 7.4: Cancer Program Goal

### Standard 1.1: Institutional Administrative Commitment

- New Standard
- What sort of documentation needed for compliance?
- How often should we address?

- A high-level description of the cancer program
- Any initiatives involving the cancer committee during the accreditation cycle that were initiated for the purposes of ensuring quality and safety
- Facility leadership's involvement in the cancer committee
- Examples of the current and future financial investment in the cancer program

## Standard 2.1: Cancer Committee Membership

- Addition of Survivorship Program Coordinator – enhanced standard
- Dropped Community
   Outreach Coordinator –
   removed previous \$1.8
- COVID-19 cancellations
- Use of consent agendas
- Required member can hold position of alternate for another member
- CLP/Cancer Committee chair and Required Physician role
- CLP alternate

#### **Standard 2.2: Cancer Liaison Physician** RQRS (S6.4) and Reports on NCDB data specific to program at least twice each **Accountability and Quality** year. Improvement measures Can include review of: (\$7.1)• NCDB quality improvement, accountability and surveillance measures CQIP reports NCDB hospital benchmark reports · Any other data specific to the cancer program from the NCDB

#### **Standard 2.5: Multidisciplinary Cancer Case** Conference Combined ER3: Cancer Cancer Conference Report to include: Conference policy and S1.7-Frequency **Monitoring Cancer Conference Multidisciplinary attendance** activity Number of cases presented Requirement added to discuss **Percentage of Prospective Genetics and Supportive Care** Elements of Discussion Programs hold General Cancer Clinical/Pathological stage **Conference and/or Site-Specific** Treatment planning Conference(s) Genetic Testing **Clinical Research** CME not required Supportive Care services





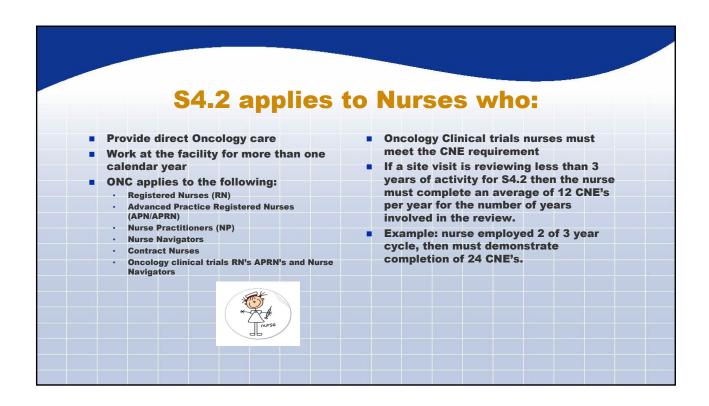
### Standard 4.2: Oncology Nursing Credentials

- Nurses taking care of cancer patients either: (Phase-in 2021)
  - Hold oncology-specific nursing certification
  - Complete 36 oncology-specific
     CNE's each accreditation cycle
     (3 years)

## Potential Oncology Nursing Certifications

- Advanced Oncology Certified Nurse Practitioner (AOCNP®)
- Advanced Oncology Certified Clinical Nurse Specialist (AOCNS®)
- Advanced Oncology Certified Nurse (AOCN®)
- Blood & Marrow Transplant Certified Nurse (BMTCN®)
- Certified Pediatric Hematology Oncology Nurse (CPHON®)
- Certified Pediatric Oncology Nurse (CPON®)
- Certified Breast Care Nurse (CBCN®)
- Oncology Certified Nurse (OCN®)

# What type of Education Counts? - Attendance at Cancer Conference - Free CNE - Online CNE - In-house education that offers CNE's - In-person CNE



## S4.2 Does not apply to: Medical Assistants (MA) Physician Assistants (PA) Travel RN's, APRN's, Nurse navigators Locum Tenens RNS, APRN's, Nurse navigators Nursing administrators, Directors, Managers (that do not provide direct patient care)

## Standard 4.3: Cancer Registry Staff Credentials • All Registry Staff abstracting cases must: • Hold a CTR credential OR • Perform case abstracting under supervision of CTR • Non-credentialed cancer registry staff: • May perform case finding and follow-up • Complete three hours of cancer-related continuing education per calendar year

### Standard 4.4: Genetic Counseling & Risk Assessment

- Policy and Procedure must include:
  - Criteria for referral for a genetic evaluation
  - Identification of the genetics professionals
  - Identification of the genetics professionals qualified to perform posttest counseling



- Evaluation of S4.4
  - The number of patients identified as needing referrals for the selected cancer site each year, and
  - How many patients identified as needing referrals for the selected cancer site received a referral for genetic counseling
  - It is encouraged, but not required, that programs track whether patients who received referrals ultimately had genetic counseling

#### Monitoring Genetic Assessment for a Selected Cancer Site

- Identify a specific cancer site each calendar year
- Address identifying appropriate individuals for further genetic evaluation
- Appropriate referrals for genetic evaluation

#### **Standard 4.5: Palliative Care Services**

- Palliative care refers to patient and family centered care that optimizes quality of life
- Palliative care team may include:
  - Physicians
  - AP providers
  - Nurses
  - Mental Health Professionals
  - Social Workers and Spiritual counselors

#### **Standard 4.5: Palliative Care Services**

- Types of Palliative Care Services:
  - Team-based care planning that involves the patient and family
  - Pain and non-pain symptom management
  - Communication among patients, families, and provider team members
  - Education about illness and prognosis
  - Assistance with medical decision making
  - Psychosocial support for patients and families
  - Attention to spiritual needs
  - Bereavement support for families and care team

#### **Standard 4.5: Palliative Care Services**

- Evaluating Palliative Care Services:
  - Access the approximate number of cancer patients referred
  - Discuss criteria utilized to trigger referrals
  - Discuss areas of improvement
    - Barriers to access of palliative care services
    - Improve timeliness of referrals
    - Addition of palliative care services to program

### Standard 4.6: Rehabilitation Care Services

- Criteria for performing functional assessments
  - Should be in-person assessment
- Criteria for referral to rehabilitation care specialist
  - Physiatrists
  - Physical therapists
  - Occupational therapists
  - Speech language pathologists

### Standard 4.6: Rehabilitation Care Services

- Types of rehabilitative care services:
  - Screening, diagnosis, and management of physical dysfunction, impairments, and disabilities
  - Interventions to manage identified functional impairments and disabilities
  - Screening, diagnosis and management of pain and non-pain symptoms
  - Lymphedema management
  - Physical activity recommendations

## Standard 4.7: Oncology Nutrition Services

- Oncology Nutrition Services provided by a Registered Dietitian Nutritionist
- Nutritional Services are to be provided to ALL
   Oncology patients
- Annual evaluation of nutritional services

## Standard 4.7: Oncology Nutrition Services

- Components of Oncology Nutrition services include:
  - Screening and nutrition assessment
  - Medical nutrition therapy
  - Nutrition counseling
  - Nutrition education
  - Management and coordination of enteral and parenteral nutrition

## Standard 4.8: Survivorship Program – Phase in 2021

- New Standard requirements:
  - Designate leader of survivorship program
  - Identify team and services/programs offered to address needs of cancer survivors
  - Annually evaluate 3 services/programs impacting cancer survivors

# Survivorship Program Services may include: Treatment summaries SCP's SCP's Screening for recurrences Screening new cancers Seminars for survivors Rehab services Nutritional services Psychosocial support Psychiatric services

# Standard 5.1: CAP Synoptic Reporting Compliance percentage is 90% No annual audit required any longer – it is encouraged Synoptic reporting format

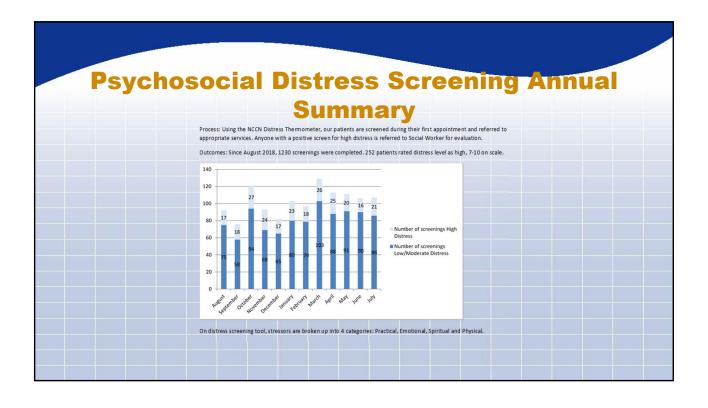
## Standard 5.2: Psychosocial Distress Screening

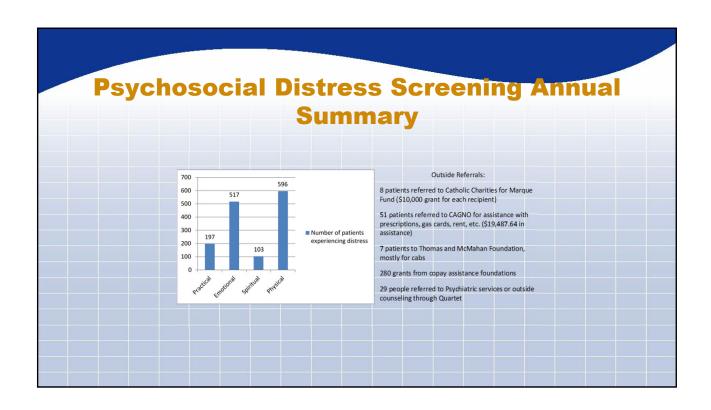
- Policy and Procedure for providing and monitoring psychosocial services and distress screening
- Cancer program chooses tool
- Screened at least once during 1<sup>st</sup> course treatment
- Evaluate process

## Standard 5.2: Psychosocial Distress Screening

- The annual summary must include:
  - Number of patients screened
  - Number of patients referred
  - Where patients were referred







#### **Operative Standards 5.3 - 5.8**

- Breast Sentinel Node Biopsy
- Breast Axillary Dissection
- Primary Cutaneous Melanoma
- Colon Resection
- Total Mesorectal Excision
- Pulmonary Resection

### Standard 6.1: Cancer Registry Quality Control

- Change to standard expanded who can do annual audit
  - · Can be CTR, APRN, PA, Physician, Fellow, Resident
  - Lowered number of cases that must be reviewed each year



## Standard 6.1: Cancer Registry Quality Control

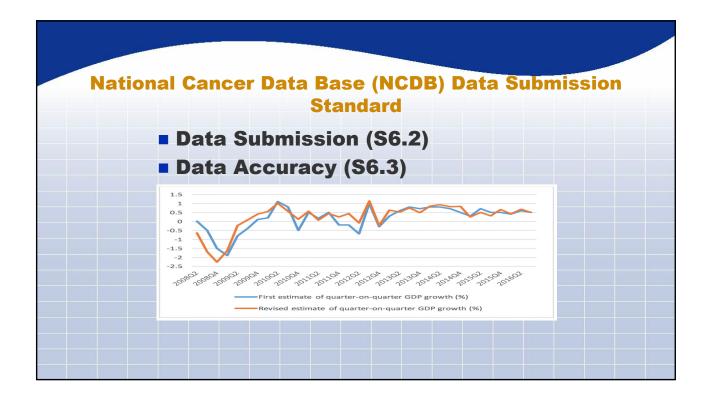
- Policy and Procedure must identify the activities to be evaluated for all cases each year
  - Case finding
  - Abstracting timeliness
  - Percentage of information with 9's

### Standard 6.1: Cancer Registry Quality Control

- Identify activities to be evaluated each year for accuracy of abstracted data
  - · Class of Case
  - Primary site
  - Histology and Grade
  - AJCC stage or other staging system
  - First course treatment
  - Follow-up

# Standard 6.1: Cancer Registry Quality Control What type of documentation is required to show compliance? Review criteria Cases reviewed Errors identified Resolutions to errors Report percentage of accuracy All data elements reviewed should be listed

Quality Control of Cancer Registry Abstracting
Compared to the Medical Record
This tool is provided to document compliance with Standard 6.1
This tool is provided to document compranie with Standard 0.1
Month/Time Period of Audit:
Number of cases abstracted this period: Number of cases audited this period:
Named of cases abstracted this period.
Criteria:  1. The text within the abstract allows for review of AJCC.
The text within the abstract allows for review of AJCC.     The documented histology is correct.
3. The documented primary site is correct.
The documented class of case is correct.
<ol><li>The documented grade is correct.</li></ol>
The documented first course of treatment is correct based on NCCN guidelines.
The documented demographic data are correct.     The documented AJCC staging information is complete and correct.
The documented AJCC staging information is complete and correct.     The documented follow-up physician(s) and/or follow-up contacts are correct.
Criteria
Patient ID 1 2 3 4 5 6 7 8 9 Comments
Directions:
<ol> <li>If the criterion is met, put an (X) in the appropriate box.</li> </ol>
2. If the criterion is not met, put a zero (0) in the appropriate box.
If the criterion does not apply, put (NA) in the appropriate box.     Comment on all zero (0) responses.
5. Identify the follow-up action required, if any.
Results of Review:
Identified errors and proposed resolution:
Signature of auditor (physician)  Date:
Signature of Matters (physically)
Date presented to the cancer committee:



#### **Standard 6.4: Rapid Quality Reporting** System (RQRS) Highlights of expected changes with the new RCRS will include: Single platform for real time data submission No more Call for Data for completed cases All new and updated cases, for all disease sites, since last submission (e.g., monthly) .Dat File format only File size < 150 MB No zipped files RCRS extract software will include all sites and all years (2004-present) Submitted data will pass through two sets of edits upon submission: Cases will need to pass RCRS edits for successful submission and inclusion in alerts and quality measures After treatment, cases will need to pass Call for Data edits to be included in NCDB annual reporting tools Cases may be submitted multiple times The most recent case will be used RQRS case notes will not automatically transfer into RCRS; registrars will need to copy over any needed notes

#### **Standard 6.5: Follow-Up of Patients**

- 80% follow-up rate is maintained for all eligible cases from registry reference year
- 90% follow-up rate is maintained for all eligible cases diagnosed within last five years or reference date, whichever is shorter

# Standard 7.1: Accountability and QI Measures Monitor performance rates with NCDB Quality Improvement and Accountability Measures Develop an action plan for measures that do not meet the benchmark

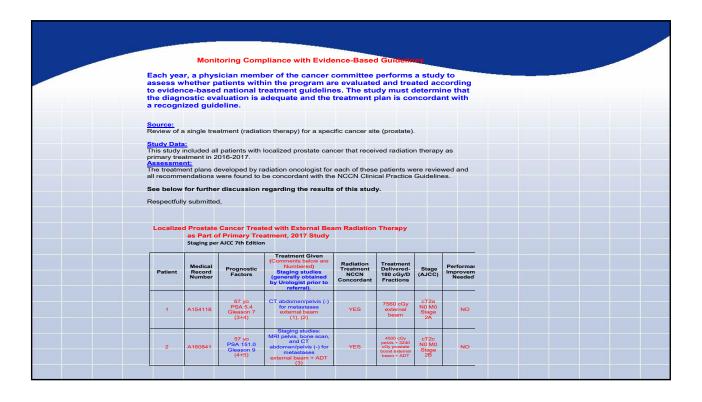
### Standard 7.2: Monitoring Concordance with Evidence-based Guidelines

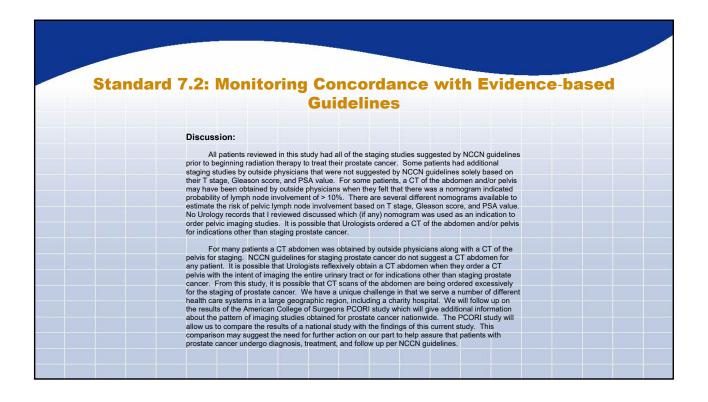
- What must the review include?
  - · All cases from a specific cancer site
    - To max of 100 cases
  - Identified need or concern within a specific cancer site or stage of cancer
  - Review of initial evaluation of patient
  - Review of first course treatment

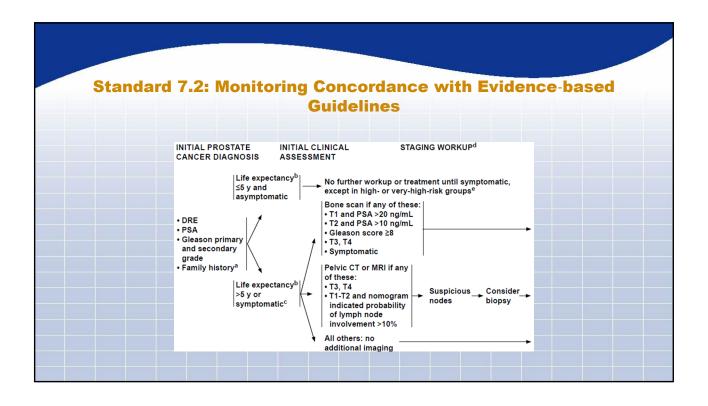
### Standard 7.2: Monitoring Concordance with Evidence-based Guidelines

- How should the review be reported to Committee?
  - Format permits analysis
  - Provides opportunity for recommended Performance Improvements
  - Report details all required data elements of the study











## Standard 7.3: Quality Improvement Initiative

- Review Data to Identify the Problem
  - · Already identified quality-related problem
  - NCDB Accountability or QI measure
  - Monitoring Compliance with Evidence-based Guidelines study (7.2)
  - Problems identified through annual review of clinical services
  - Problems identified through other accreditation initiatives
  - Problems identified through NDCB data

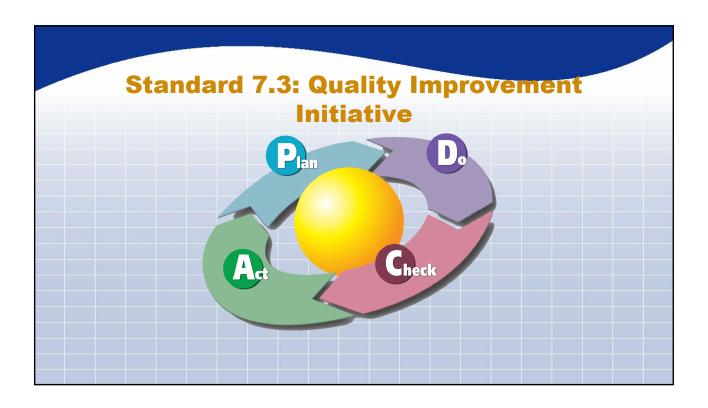
## Standard 7.3: Quality Improvement Initiative

- Write the Problem Statement
  - Must be specific QI problem
  - Establish baseline and goal metrics
  - Anticipated timeline for completing QI and achieving the expected outcome



# Standard 7.3: Quality Improvement Initiative Implement Performance Improvement Methodology and Metrics Recognized standardized performance improvement tool such as DMAIC or PDCA Identify all possible contributing factors to problem Root-cause analysis Develop project calendar Ql initiatives should last approximately one year





## Standard 7.3: Quality Improvement Initiative • Quality Improvement Initiative Summary • Summary of data reviewed to ID problem • Problem Statement • QI initiative team members • PI improvement tool utilized • Intervention implemented • Any adjustments made to the intervention • Results of implemented intervention



## Standard 8.1: Addressing Barriers to Care

- Basically 4 step process:
  - Analysis of cancer barrier(s)
  - Identify barrier(s)
  - Choose barrier(s) and implement strategies to address
  - Report to the Cancer Committee on all elements

#### **Standard 8.2: Cancer Prevention Event**

- One event aimed at changing behavior that reduces the risk cancer will develop
- Increase participants knowledge and awareness of cancer risk
  - Smoking cessation
  - Nutrition
  - HPV vaccination

## Examples of non-compliant events under \$8.2

- Programs held only on the Internet, through social media, or through a mail campaign without real-time interaction with participants
- Prevention education given in the regular course of business
- Events or programs that educate about cancer screening or reduction of late-stage at diagnosis

#### **Standard 8.2: Cancer Prevention Event**

- A summary of the event must be presented to and discussed by the cancer committee that includes the following:
  - The cancer site(s) on which the event focused
  - The partnering community organization (where applicable)
  - Target audience
  - Guideline(s) used in planning the prevention event (where applicable)
  - The type of prevention event held (behavioral risk reduction or cancer education/risk awareness lecture

#### **Standard 8.3: Cancer Screening Event**

- Hold at least one event aimed at detecting cancer at an early stage
  - Breast (imaging and physical exam)
  - Colon (colonoscopy, fecal occult blood testing, flexible sigmoidoscopy)
  - Cervical (PAP with or without HPV)
  - Skin (total body exam)
  - Lung (low-dose computed tomography)
  - Head and Neck (oral exam)

## Examples of non-compliant events under \$8.3

- Screening programs performed in the regular course of business
- Events or programs that educate about cancer screening or reduction of stage at diagnosis that do not provide an actual screening



#### **Standard 8.3: Cancer Screening Event**

- Summary of event to Committee:
  - Cancer site on which event is focused
  - Partnering organization (if applicable)
  - Target audience
  - Guidelines utilized in planning event
  - Process for follow-up of positive findings

#### Changes for S8.2 and S8.3

- Removed requirement to report effectiveness of events
- Removed requirement that programs document community need for specific event

#### Standard 9.1: Clinical Research Accrual

- Eligible Cancer-Related Research Studies for Accrual:
  - Basic Science
  - Diagnostic
  - Prevention
  - Screening
  - Supportive Care
  - Treatment
  - Health Services Research

#### Standard 9.1: Clinical Research Accrual

- Additional categories of cancer-related clinical research studies:
  - · Cancer-specific biorepositories or tissue banks
  - Economics of care related to cancer care
  - Genetic Studies
  - Patient registries with underlying cancer research focus

#### **Standard 9.1: Clinical Research Accrual**

- Calculating compliance:
  - Analytic patient enrolled in clinical research within your facility
  - Analytic patient enrolled in clinical research within staff physician office
  - Analytic patient enrolled in clinical research through another facility
  - Patient referred to your facility for enrollment onto a clinical trial

## Clinical Research Coordinator must report:

- The specific clinical research studies where subjects were accrued, including the trial/study name and, when applicable, the clinicaltrials.gov trial number
- Number of subjects accrued to each individual clinical research study
- Open clinical research studies with identification of those with a nearing end date
- New trials that will be added
- If the required accrual percentage is not met, the report identifies contributing factors and identifies an action plan to address those factors

### Interpreting the 2020 Commission on Cancer Standards

- Key to successful survey:
  - Build a cohesive team to tackle the standards
  - Define responsibility and timelines
  - Documentation of discussion, actions and results is a critical piece of survey
  - Read through the entire standard to grasp full understanding of content and compliance

